



**FINANCIAL EXAMINER VII  
CLASS NO. 1112  
SALARY GROUP B29**

**JOB DESCRIPTION**

**GENERAL DESCRIPTION**

Performs highly advanced and/or managerial (senior-level) financial examination work. Work involves overseeing the review and evaluation of fiscal, corporate, and operational records to determine financial condition, level of internal control, and compliance with statutory requirements. May plan, assign, and/or supervise the work of others. Works under minimal supervision, with extensive latitude for the use of initiative and independent judgment.

**EXAMPLES OF WORK PERFORMED**

Oversees and/or conducts independent examinations or investigations of organizations, including those that involve controversial regulatory matters.

Oversees and/or develops financial examination policies and procedures for the agency.

Oversees the preparation of statistical sampling of records documentation and work papers to support findings.

Oversees the preparation of and submits reports of financial examinations that include evaluations and recommendations for regulatory or judicial actions as required.

Communicates with industry employees regarding requirements, results of examinations, solvency reviews, or rehabilitation efforts.

Confers with organizational management to resolve problems and deviations from required and permissible standards and procedures.

Determines organizations to be examined, schedules examinations, and assigns resources for each examination.

Monitors accuracy of information in internal database programs and recommends enhancements to internal programs.

Plans and coordinates rehabilitation efforts of troubled organizations and determines regulatory compliance relative to business operations.

Provides guidance to less experienced financial examiners and assists management in meeting training objects for staff.

May plan, assign, and/or supervise the work of others.

Performs related work as assigned

## **GENERAL QUALIFICATIONS GUIDELINES**

### **EXPERIENCE AND EDUCATION**

Requires three years' experience in financial examination, accounting, or auditing work. Graduation from an accredited four-year college or university with major coursework in accounting, finance, insurance, or a related field is preferred. Education and experience may be substituted for one another.

### **KNOWLEDGE, SKILLS, AND ABILITIES**

Knowledge of statistical analysis and techniques; of auditing and accounting standards and techniques of both statutory accounting principles (SAP) and generally accepted accounting principles (GAAP); of financial and industry terminology and practices; and of corporate structures, business operating procedures, management controls, and internal reporting techniques.

Ability to audit financial statements, reports, records, budgets, operational procedures, and forms; to manage projects for timely completion; to prepare concise reports and correspondence; to apply relevant rules, regulations, and statutes; to communicate effectively; and to plan, assign, and/or supervise the work of others. Experience with State Accounting Systems - USAS and/or CAPPS is preferred.

*The following Military Occupation Specialty (MOS) codes are generally applicable to this position: 36A, 70C, 020, 31, FIN10, 3404, 3408, 8844, 6FOX1, 65FX, 65WX.*

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