ORDER OF THE SUPREME COURT OF TEXAS

Misc Docket No. 99- 9097

Appointment of a District Judge to Preside in a State Bar Disciplinary Action

The Supreme Court of Texas hereby appoints the Honorable Stephen B. Ables, Judge of the 216th District Court of Kerr County, Texas, to preside in the Disciplinary Action styled:

The Commission for Lawyer Discipline v. Vika Newsom Andrel

to be filed in a District Court of Travis County, Texas.

The Clerk of the Supreme Court shall promptly forward to the District Clerk of Travis County, Texas, a copy of this Order and of the Disciplinary Petition for filing and service pursuant to Rule 3.03, Texas Rules of Disciplinary Procedure.

As ordered by the Supreme Court of Texas, in chambers,

with the Seal thereof affixed at the City of Austin, this 12th day of May, 1999.

JOHN T. ADAMS, CLERK SUPREME COURT OF TEXAS This assignment, made by Misc. Docket No. 99-9097, is also an assignment by the Chief Justice of the Supreme Court pursuant to Texas Government Code §74.057.

Signed this | day of May, 1999.

Thomas R. Phillips Chief Justice

CAUGE NO.		
COMMISSION FOR LAWYER	§	IN THE DISTRICT COURT OF
DISCIPLINE	§	
	§	
V.	§	TRAVIS COUNTY, TEXAS
	§	
	§	
VIKA NEWSOM ANDREL	§	JUDICIAL DISTRICT

PETITIONER'S ORIGINAL DISCIPLINARY PETITION

TO THE HONORABLE JUDGE OF SAID COURT:

CALISE NO

Comes now, Petitioner, COMMISSION FOR LAWYER DISCIPLINE, a committee of the State Bar of Texas, and files this its Original Disciplinary Petition complaining of Respondent, VIKA NEWSOM ANDREL, and in support thereof would respectfully show the Court the following:

Parties

Petitioner is the COMMISSION FOR LAWYER DISCIPLINE, a committee of the State Bar of Texas. Respondent, VIKA NEWSOM ANDREL, Texas State Bar Number 14974200, is an attorney licensed to practice law in the State of Texas and is a member of the State Bar of Texas. Respondent maintains an office in Travis County, Texas. Respondent may be served with citation and a copy of this petition through her attorney, Bernard Dean "Skip" Newsom, Jr., at 111 Congress Ave., Suite 820, Austin, Texas 78701-4043.

Venue

Respondent's principal place of practice is in Travis County, Texas. Accordingly, pursuant to Texas Rules of Disciplinary Procedure 3.03, venue is proper in Travis County, Texas.

Discovery Control Plan

Petitioner intends to conduct discovery under Level 2 of Rule 190.3 of the Texas Rules of Civil Procedure.

I.

Petitioner brings this disciplinary action pursuant to State Bar Act, Tex. Gov't. Code Ann., Sec. 81.001, et seq. (Vernon 1988), the Texas Disciplinary Rules of Professional Conduct and the Texas Rules of Disciplinary Procedure. The complaint which forms the basis of this action was filed by Robert Campbell on or about February 25, 1998. The acts and conduct of Respondent, as hereinafter alleged, constitute professional misconduct.

II.

- 1. On or about November 14, 1997, Robert Campbell (hereinafter "Campbell") sought the legal services of Respondent to assist his family with the adoption of a child. Campbell met with Respondent at her law offices located at 3908 Manchaca Road, Austin, Texas, which is also the location of an adoption agency operated by Respondent called Andrel Adoptions, Inc. At the meeting, Campbell paid Respondent the sum of \$1,250.00 to cover expenses of \$300.00 for travel to Dallas, Texas, for a meeting with the birth mother and \$950.00 for the cost of an expedited home study. Following the meeting with Campbell, Respondent hired Jo Ann Brandon, LMSW-ACP (hereinafter "Brandon"), a Social Worker, to conduct the adoption home study for a fee of \$450.00.
- 2. Although no formal contract for services was executed between Respondent and Campbell and/or between Respondent and the birth mother, Respondent led Campbell and the birth mother to believe that she was acting as their attorney in the adoption process. At no time did Respondent

Original Disciplinary Petition - Page 2

advise Campbell or the birth mother that either of them needed to retain independent counsel for representation in the adoption; instead, Respondent tried to represent both parties in this process by giving advice to Campbell regarding his legal rights as an adoptive parent at the meeting which took place in Respondent's Austin, Texas office on November 14, 1997, and by giving advice to the birth mother about her legal rights as a birth parent and by explaining the ramifications of specific legal documents that the birth parents were asked to sign relating to the adoption and the relinquishment of their parental rights at the meeting which took place in Dallas, Texas on November 16, 1997.

- 3. On or about November 17, 1997, the birth mother notified Campbell and Respondent that, based on events that transpired during the previous day's meeting with Respondent in Dallas, she refused to work with Respondent in connection with the adoption.
- 4. On or about November 17, 1997 and again on November 22, 1997, adoptive home studies were conducted by Brandon, who had since quit working for Respondent and was now conducting the home studies at Campbell's request in order that they be completed before the December birth of the adoptive child. On or about November 26, 1997, Campbell paid the sum of \$800.00 directly to Brandon for these home studies.
- 5. During this time, Campbell terminated Respondent's services and retained another lawyer to complete the adoption. Although Campbell requested that Respondent refund the \$950.00 she had previously been paid for an expedited home study, Respondent refused to return the unearned fee to Campbell.

6. By charging Campbell \$950.00 for services she never performed and by refusing to return these unearned funds to Campbell upon termination, Respondent charged an unconscionable fee.

III.

The conduct of Respondent described above constitutes a violation of the following Texas Disciplinary Rules of Professional Conduct:

Rule 1.04(a) -- A lawyer shall not enter into an arrangement for, charge, or collect an illegal fee or unconscionable fee;

Rule 1.06 (b)(1) -- A lawyer shall not represent a person if the representation of that person involves a substantially related matter in which that person's interests are materially and directly adverse to the interests of another client of the lawyer;

Rule 1.06 (b)(2) — A lawyer shall not represent a person if the representation of that person reasonably appears to be or become adversely limited by the lawyer's responsibilities to another client or to a third person or by the lawyer's own interests;

Rule 1.07(a)(1) -- A lawyer shall not act as intermediary between clients unless the lawyer consults with each client concerning the implications of the common representation...and obtains each client's written consent to the common representation;

Rule 1.15(d) -- Upon termination of representation, a lawyer shall take steps to the extent reasonably practicable to protect a client's interests, such as...refunding any advance payments of fee that has not been earned;

Rule 8.04(a)(1) -- A lawyer shall not violate these rules...whether or not such violation occurred in the course of a client-lawyer relationship.

PRAYER

WHEREFORE, PREMISES CONSIDERED, Petitioner prays that a judgment of professional misconduct be entered against Respondent imposing an appropriate sanction as the facts shall warrant and that Petitioner have such other and further relief to which it is entitled, including costs of court, restitution, and reasonable attorney fees.

Original Disciplinary Petition - Page 4

Respectfully submitted,

Steven Young General Counsel

Seana Willing Assistant General Counsel

Office of the General Counsel State Bar of Texas 425 Soledad, Suite 300 San Antonio, Texas 78205 Telephone: (210) 271-7881 Telecopier: (210) 271-9642

SEANA WILLING

State Bar No. 00787056

ATTORNEYS FOR PETITIONER

STATE BAR OF TEXAS



CERTIFIED MAIL
RETURN RECEIPT REQUESTED
Z 292 150 098

Office of the General Counsel Regional Office Soledad Plaza West 425 Soledad, Suite 300 San Antonio, Texas 78205 (210) 271-7881 FAX: (210) 271-9642

April 20, 1999

John T. Adams, Clerk Supreme Court of Texas P.O. Box 12248 Austin, Texas 78711

RE: <u>Commission for Lawyer Discipline v. Vika Newsom Andrel</u>

Dear Mr. Adams:

Enclosed please find an original and three (3) copies of a Disciplinary Petition being filed by the Commission for Lawyer Discipline against Vika Newsom Andrel. Ms. Andrel's principal place of practice is in **Travis County**. Request is hereby made that the Court appoint an active District Judge who does not reside in the Administrative Judicial Region in which Respondent resides to preside in this case. Upon appointment, request is made that you notify the Respondent at the address shown below and the undersigned of the identity and address of the judge assigned:

Vika Newsom Andrel BY AND THROUGH ATTORNEY OF RECORD Bernard Dean "Skip" Newsom, Jr. 111 Congress Ave., Suite 820 Austin, Texas 78701-4043

As a practical matter, I would respectfully suggest that you inquire with the judge to be appointed as to: (1) whether he or she will be able to comply with the 180 day deadline by which the case must be set for trial as set forth in Section 3.07 of the Texas Rules of Disciplinary Procedure; and (2) whether he or she can accommodate compliance with Mellon Service Co., et al v. Touche Ross Co., 946 S.W.2d 862 (Tex.App.- Houston [14th Dist.] 1997), which requires that all proceedings incident to a case occur in the county of proper venue. If not, I would respectfully request that an alternate appointment be made.

Once a trial judge has been appointed, please forward the original and three (3) copies of the Disciplinary Petition, the filing fee check, also enclosed herewith, and the Court's appointing order to the District Clerk of Travis County, Texas, with the request that the suit be filed, service be obtained, and a filemarked copy of the petition returned to the undersigned.

Also enclosed are a pre-addressed envelope for your use in transmitting the petition, etc., to the District Clerk of Travis County, Texas and a return envelope to be sent to the District Clerk of Travis County, Texas, for the Clerk's use in returning a filemarked copy of the petition to the undersigned.

Thank you for your courtesies in this matter.

Sincerely,

Seana Willing

Assistant General Counsel

Enclosures

SBW/ch



THE SUPREME COURT OF TEXAS

CHIEF JUSTICE THOMAS R. PHILLIPS

JUSTICES

NATHAN L. HECHT

CRAIG T. ENOCH

PRISCILLA R. OWEN

JAMES A. BAKER

GREG ABBOTT

DEBORAH G. HANKINSON

HARRIET O'NEILL ALBERTO R. GONZALES POST OFFICE BOX 12248

AUSTIN, TEXAS 78711

TEL: (512) 463-1312

FAX: (512) 463-1365

MAY 17 1999

CLERK
JOHN T. ADAMS

EXECUTIVE ASS'T WILLIAM L. WILLIS

DEPUTY EXECUTIVE ASS'T JIM HUTCHESON

ADMINISTRATIVE ASS'T NADINE SCHNEIDER

The Honorable Amalia Rodriguez-Mendoza District Clerk of Travis County P.O. Box 1748 Austin, Texas 78767-1748

Dear Ms. Rodriguez-Mendoza:

Pursuant to Rule 3.03 of the Texas Rules of Disciplinary Procedure, I am sending for filing State Bar of Texas Disciplinary Action styled: *The Commission for Lawyer Discipline v. Vika Newsom Andrel*, and a copy of the Supreme Court's order appointing the Honorable Stephen B. Ables, Judge of the 216th District Court, Kerrville, Texas, to preside in this Disciplinary Action.

Sincerely,

CIC. IED

John T. Adams Clerk

cc:

Hon. Stephen B. Ables Ms. Seana Willing

Ms. Vika Newsom Andrel



THE SUPREME COURT OF TEXAS

CHIEF JUSTICE THOMAS R. PHILLIPS

JUSTICES
NATHAN L. HECHT
CRAIG T. ENOCH
PRISCILLA R. OWEN
JAMES A. BAKER
GREG ABBOTT
DEBORAH G. HANKINSON
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MAY 17 1999

CLERK
JOHN T. ADAMS

EXECUTIVE ASS'T WILLIAM L. WILLIS

DEPUTY EXECUTIVE ASS'T

ADMINISTRATIVE ASS'T NADINE SCHNEIDER

Honorable Stephen B. Ables Judge, 216th District Court 700 Main Street Kerrville, Texas 78028-5389

Dear Judge Ables:

We enclose for your information a copy of the order of assignment, a copy of the Disciplinary Action, a copy of the notification letter to Ms. Andrel and Ms. Willing, and a copy of the letter to the District Clerk of Travis County.

It is recommended that, about a month or six weeks after receipt of this letter, you contact the Travis County Court Administrative Office (512-753-9300) (certainly just before or immediately after you set the case for trial) to reserve a courtroom, provide for a court reporter, etc. Finally, you should contact the Presiding Judge of the Administrative Judicial Region into which you have been assigned (210-379-8556) to obtain information on lodging, allowable expenses, and claims forms for your expenses incident to presiding over this disciplinary case.

Sincerely,

SIGNED

John T. Adams Clerk



THE SUPREME COURT OF TEXAS

CHIEF JUSTICE THOMAS R. PHILLIPS

JUSTICES
NATHAN L. HECHT
CRAIG T. ENOCH
PRISCILLA R. OWEN
JAMES A. BAKER
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MAY 17 1999

CLERK
JOHN T. ADAMS

EXECUTIVE ASS'T
WILLIAM L. WILLIS

DEPUTY EXECUTIVE ASS'T JIM HUTCHESON

ADMINISTRATIVE ASS'T NADINE SCHNEIDER

Ms. Seana Willing Assistant General Counsel, State Bar of Texas 425 Soledad, Suite 300 San Antonio, Texas 78205

Ms. Vika Newsom Andrel c/o Bernard Dean "Skip" Newsom, Jr. 111 Congress Avenue, Suite 820 Austin, Texas 78701-4043

Dear Ms. Willing and Mr. Andrel:

Pursuant to Rule 3.02 of the Texas Rules of Disciplinary Procedure, I hereby notify you that the Supreme Court of Texas has appointed the Honorable Stephen B. Ables, Judge of the 216th District Court. Kerrville, Texas to preside in

Commission for Lawyer Discipline v. Vika Newsom Andrel

Sincerely,

SIGNED

John T. Adams Clerk